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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: July 24, 2002

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Sheet 1 of 3

Complete if Known

Application Number	09/766,678	<div>RECEIVED</div> <div>AUG 14 2002</div> <div>TECH CENTER 1600/2800</div>
Filing Date	01/23/2001	
First Named Inventor	Axel ULLRICH et al.	
Group Art Unit	1647	
Examiner Name	Lorraine Spector	
Attorney Docket Number	038602-1082	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,185,438		Lemishka	02/08/93	
	A2	5,712,395		App et al.	01/27/98	
	A3	5,763,441		App et al.	06/09/98	
	A4	5,766,860		Terman et al.	06/16/98	
	A5	5,792,771		App et al.	08/11/98	
	A6	5,792,783		Tang et al.	08/11/98	
	A7	5,869,742		Köster et al.	02/09/99	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A8	WO	92/03459			03/05/92		
	A9	WO	92/14748			09/03/92		
	A10	WO	92/17486			10/15/92		
	A11	WO	94/10292			05/11/94		
	A12	WO	95/21868			08/17/95		
	A13	WO	96/20403			07/04/96		

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A14	Breier et al., "Expression of Vascular Endothelial Growth Factor During Embryonic Angiogenesis and Endothelial Cell Differentiation," <u>Development</u> 114:521-532, 1992.	
	A15	Conn et al., "Purification of a Glycoprotein Vascular Endothelial Cell Mitogen From a Rat Glioma-derived Cell Line," <u>Proc. Natl. Acad. Sci. USA</u> 87:1323-1327, 1990.	
	A16	Connolly et al., "Human Vascular Permeability Factor. Isolation from U937 Cells," <u>The Journal of Biological Chemistry</u> , Vol. 264, No. 33, p.20021, (25 November 1989).	

Examiner Signature

Date Considered

11/13/02

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: July 24, 2002

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Sheet 2 of 3

Complete if Known


Application Number	09/766,678
Filing Date	01/23/2001
First Named Inventor	Axel ULLRICH et al.
Group Art Unit	1647
Examiner Name	Lorraine Spector
Attorney Docket Number	038602-1082

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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	A17	De Vries et al., "The <i>fms</i> -Like Tyrosine Kinase, a Receptor for Vascular Endothelial Growth Factor," <u>Science</u> 255:989-991, 1992.	
	A18	Ferrara et al., "Pituitary Follicular Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," <u>Biochem. Biophys. Res. Comm.</u> 161:851-858, 1989.	
	A19	Ferrara et al., "The Vascular Endothelial Growth Factor Family of Polypeptides," <u>J. Cell Biochem.</u> 47:211-218, 1991.	
	A20	Gospodarowicz et al., "Isolation and Characterization of a Vascular Endothelial Cell Mitogen Produced by Pituitary-Derived Folliculo Stellate Cells," <u>Proc. Natl. Acad. Sci. USA</u> 86:7311-7315, 1989.	
	A21	Kashles et al., "A Dominant Negative Mutation Suppresses the Function of Normal Epidermal Growth Factor Receptors by Heterodimerization," <u>Mol. Cell. Biol.</u> 11:1454-1463, 1991.	
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	A23	Leung et al., "Vascular Endothelial Growth Factor Is a Secreted Angiogenic Mitogen," <u>Science</u> 246:1306-1309, 1989.	
	A24	Li et al., "Monoclonal antibodies to recombinant human vascular endothelial growth factor (rHuVEGF)," <u>Journal of Cellular Biochemistry</u> , vol. Suppl., no. 15F, p.251, (1991).	
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	A26	Maglione et al., "Isolation of Human Placental cDNA Coding For a Protein Related to the Vascular Permeability Factor," <u>Proc. Natl. Acad. Sci. USA</u> 88:9267-9271, 1991.	
	A27	Matthews et al., "A Receptor Tyrosine Kinase cDNA Isolated From a Population of Enriched Primitive Hematopoietic Cells and Exhibiting Close Genetic Linkage to <i>c-kit</i> ," <u>Proc. Natl. Acad. Sci. USA</u> 88:9026-9030, 1991.	
	A28	McCormick, "Human gene therapy: The first round," <u>Biotechnology</u> 3(8):689-693, 1985.	
	A29	McMahon et al., "Protein kinase inhibitors: structural determinants for target specificity," <u>Current Opinions in Drug Discovery and Development</u> 1(2):131-146, 1998.	
A30	Millauer et al., "High affinity VEGF binding and developmental expression suggest Flk-1 as a major regulator of vasculogenesis and angiogenesis," <u>Cell</u> 72:835-846, 1993.		
A31	Mitchell et al., "Recombinant Expression and Characterization of the 121 Amino Acid Form of Vascular Endothelial Growth Factor (VEGF)," <u>J. Cell. Biochem., Keystone Symposia on Molecular and Cellular Biology, Supplement 15C, Excerpt G207</u> , 1991.		

Examiner
Signature*L. Spector*Date
Considered

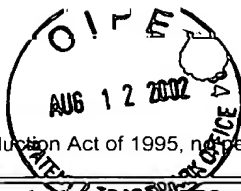
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/766,678
Date Submitted: July 24, 2002		Filing Date	01/23/2001
(use as many sheets as necessary)		First Name and Inventor	Axel ULLRICH et al.
Sheet 3 of 3		Group Art Unit	1647
		Examiner Name	Lorraine Spector
		Attorney Docket Number	038602-1082

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A32	Nicolas et al., "Retroviral Vectors," <u>Vectors</u> , Chapter 25, pp. 493-494, 1987.	
	A33	Orkin et al., "Report and Recommendations of the panel to assess the NIH investment in research on gene therapy", December 7, 1995.	
	A34	Plate et al., "Up-Regulation of Vascular Endothelial Growth Factor and Its Cognate Receptors in a Rat Glioma Model of Tumor Angiogenesis," <u>Cancer Research</u> 53:5822-5827, 1993.	
	A35	Prywes et al., "Mutations in the cytoplasmic domain of EGF receptor affect EGF binding and receptor internalization," <u>EMBO J.</u> 5(9):2179-2190, 1986.	
	A36	Risau et al., "Changes in the Vascular Extracellular Matrix During Embryonic Vasculogenesis and Angiogenesis," <u>Development Biology</u> 125:441-450, 1988.	
	A37	Sarzani et al., "A Novel Endothelial Tyrosine Kinase cDNA Homologous To Platelet-Derived Growth Factor Receptor cDNA" <u>Biochem. Biophys. Res. Comm.</u> 186:706-714, 1992.	
	A38	Spivak-Kroizman et al., "Heterodimerization of c-erbB2 With Different Epidermal Growth Factor Receptor Mutants Elicit Stimulatory or Inhibitory Responses," <u>J. Biol. Chem.</u> 267:8056-8063, 1992.	
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	A41	Terman et al., "Identification of a New Endothelial Cell Growth Factor Receptor Tyrosine Kinase," <u>Oncogene</u> 6:1677-1683, 1991.	
	A42	Terman et al., "Identification of the KDR Tyrosine Kinase as a Receptor for Vascular Endothelial Cell Growth Factor," <u>Biochem. Biophys. Res. Comm.</u> 187:1579-1586, 1992.	
	A43	Traxler, "Tyrosine kinase inhibitors in cancer treatment (Part II)," <u>Exp. Opin. Ther. Patents</u> 8(12):1599-1625, 1998.	
	A44	Ueno et al., <u>J. Biol. Chem.</u> 267(3):1470-1476, January 25, 1992.	
	A45	Ueno et al., <u>Science</u> 252:844-848, May 10, 1991.	
	A46	Ullrich et al., "Signal transduction by receptors with tyrosine kinase activity", <u>Cell</u> 61:203-212, 1990.	

Examiner Signature		Date Considered	11/13/02
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